

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jung-Keun Kim et al..	) Group Art Unit: 2812
	)
Application Serial No.: 10/568,257	) Examiner: N/A
	)
Filed: 02/13/2006	) Confirmation No.: 9671
	)
For: <b>COMPOSITION COMPRISING NOTOGINSENG RADIX</b>	)
<b>EXTRACT FOR PREVENTING AND TREATING OF</b>	)
<b>ARTHRITIS AS AN EFFECTIVE INGREDIENT</b>	)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Applicants note that the information under "Applicant(s)" is incorrect in the Filing Receipt dated October 11, 2006 in connection with the above-referenced application. The correct information under "Applicant(s)" for three applicants' names are Se-Won Kim (2<sup>nd</sup> inventor), Youngnim Choi (10<sup>th</sup> inventor), and Heejong Woo (12<sup>th</sup> inventor). Please also correct "notoginseng" in the title of the invention to "Notoginseng." For information, a copy of the Declaration, Petition and Power of Attorney originally filed February 13, 2006, which lists correct names of the inventors, is attached.

Accordingly, please correct the typographical errors in the Filing Receipt and send a corrected Filing Receipt to the undersigned attorney for the Applicants.

The Commissioner is hereby authorized to charge JHK Law's Deposit Account No. **502486** for such fees required under 37 CFR §§ 1.16 and 1.17 and to credit any overpayment to said Deposit Account No. **502486**.

Respectfully submitted,

October 16, 2006  
Date

/Joseph Hyosuk Kim/  
Joseph Hyosuk Kim, Ph.D.  
Reg. No. 41,425  
Attorney for Applicants

**JHK Law**  
P.O. Box 1078  
La Canada, CA 91012-1078  
Telephone: (818) 249-8177  
Facsimile: (818) 249-8277



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/568,257	02/13/2006	2812	450	1222003USA	5	9	1

CONFIRMATION NO. 9671

## FILING RECEIPT



\*OC000000020756837\*

Date Mailed: 10/11/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jung-Keun Kim, Kyunggi-do, KOREA, REPUBLIC OF;  
~~Se-Won Kim~~, Chungcheongnam-do, KOREA, REPUBLIC OF; (Se-Won Kim)  
 Hyung-Gun Kim, Seoul, KOREA, REPUBLIC OF;  
 Seon-Yle Ko, Kongju-si, KOREA, REPUBLIC OF;  
 Jong-Yeo Kim, Chungcheongnam-do, KOREA, REPUBLIC OF;  
 Sunhwa Chang, Cheonan-si, Chungcheongnam-do, KOREA, REPUBLIC OF;  
 Dong-Heon Baek, Seoul, KOREA, REPUBLIC OF;  
 Byung-Eui Lee, Daejeon-si, KOREA, REPUBLIC OF;  
 Seong-Hee Ko, Gangwon-do, KOREA, REPUBLIC OF;  
~~Youngnim Choi~~, Seoul, KOREA, REPUBLIC OF; (Youngnim Choi)  
 Dong Sik Jung, Chungcheongnam-do, KOREA, REPUBLIC OF;  
 Haejong Woo, Seoul, KOREA, REPUBLIC OF; (Heejong Woo)

## Power of Attorney:

Hyo Kim--41425

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/KR04/02255 09/06/2004

## Foreign Applications

REPUBLIC OF KOREA 10-2003-0062418 09/06/2003

If Required, Foreign Filing License Granted: 10/07/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/568,257**

**Projected Publication Date:** 01/18/2007

**Non-Publication Request:** No

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

Composition comprising ~~notoginseng~~ radix extract for preventing and treating of arthritis as an effective ingredient (Notoginseng)

**Preliminary Class**

438

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**